

Application

Federal Award Identification Number (FAIN)	AR-2023-007-00
Temporary Application Number	1561-2023-5
Award Name	Arkansas State (ARDOT) FFY2021 5311 ARP Preventive Maintenance, Operating, and RTAP
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/1/2028
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, ARKANSAS DEPARTMENT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1561	State Agency	ARKANSAS STATE HIGHWAY AND TRANSPORTATION DEPARTMENT	U9GQWG2L9ZQ3	809873235

Location Type	Address	City	State	Zip
Headquarters	STATE CAPITOL STE 250	LITTLE ROCK	AR	72201
Physical Address	10324 INTERSTATE 30	LITTLE ROCK	AR	72209
Mailing Address	P. O. BOX 2261	LITTLE ROCK	AR	72203

Union Information

Union Name	AMALGAMATED TRANSIT UNION(STATEWIDE)
Address 1	5025 WISCONSIN AVE

Address 2	
City	WASHINGTON
State	District of Columbia
Zipcode	20016
Contact Name	MR. LEO WETZEL
Telephone	2025371645
Fax	2022447824
E-mail	
Website	

Part 2: Application Information

Title: Arkansas State (ARDOT) FFY2021 5311 ARP Preventive Maintenance, Operating, and RTAP

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AR-2023-007-00	Final Concurrence / Reservation	Grant	Region 6	3/7/2023	3/7/2023	No

Application Executive Summary

This is a FFY 2021 Section 5311 ARP funding application in the amount of \$1,154,271, representing 100% Federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus; sustain reliable transit services; reduce unscheduled repairs; and assist training.

Arkansas DOT (ARDOT) is applying for FFY 2021 Section 5311 ARP Funds for RTAP (\$124,300) and rural (\$1,029,971) Federal funding for a total Federal amount of \$1,154,271. This represents 100% Federal share of the total eligible amount.

Federal Apportionment Breakdown:

\$875,475- Preventive Maintenance
 \$154,496 - Intercity Operating
 \$124,300 - RTAP
 \$1,154,271

We developed our request based on each eligible subrecipient application request and need. Overlapping capital funds include the following FAIN/PO Numbers: CRRSAA AR-2021-004.

No State Administration will be requested in this award.

ARDOT has decided to use the funds at 100% Federal Match.

Approximately \$278,795 will be used for pre-award expenses starting January 3, 2023. The funds will come from the following breakdown:

\$124,300 in ALI 43.50.01 for RTAP
 \$154,496 in ALI 30.09.08 for Intercity Operating Assistance

All projects are currently listed in category B until agreements and certifications are signed.

The projects selected for inclusion in this award application were derived from a fair and equitable distribution, through maximum feasible coordination with other transportation services provided by other federal sources, and consistent with the State Management Plan for the Section 5311 Program.

The certification letter is not needed for FFY 2021 ARP funds as the 15% requirement for intercity will be obligated in this award.

ARDOT certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

ARDOT does not have a lobbyist, therefore, Federal Funds are not used for lobbying.

ARDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approval for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

In addition to FTAs Buy America requirements that require the steel, iron, and manufactured goods used in an FTA-funded project are produced in the United States (49 U.S.C. 5323(j)(1)), the Build America, Buy America Act (BABA) (Public Law 117-58, div. G 70914(a)) now requires that construction materials used in infrastructure projects are also produced in the United States. Refer to terms and conditions in FTAs Master Agreement Section 15. The BABA requirement applies to this grant, in addition to the Buy America requirements, except to the extent a waiver of either requirements may apply.

Although not required, these projects are listed in the FY 2021-2024 Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA on 08/17/2021. This project is referenced in the STIP on page 54, and the referenced STIP is attached here and in the Application Documents.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

This is a FFY 2021 Section 5311 ARP funding application in the amount of \$1,154,271, representing 100% Federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus; sustain reliable transit services; reduce unscheduled repairs; and assist training.

Activities to be performed:

Funds will be utilized for Preventive Maintenance, Operating, RTAP, and State Administration.

Expected outcomes:

These funds will assist Subrecipients in maintaining vehicles so they may continue to provide individuals with basic mobility needs which may include access to health care, shopping, employment, and education. This award will help to maintain and improve the use of public transportation and support the use of intercity bus transportation.

Intended beneficiaries:

The transit agencies and the riders they serve will benefit from this award.

Subrecipient Activities:

The preventive maintenance funds will be used for nine (9) rural area agencies (SCAT also provides intercity services), operating expenses for two (2) intercity agencies (Greyhound and Jefferson Lines), and training through RTAP provided by the Arkansas Transit Association (ATA).

The following subrecipients will utilize the funds:

- Arkansas Transit Association (ATA) (RTAP)
- Black River Area Development (BRAD Transit)
- Central AR Development Council dba South Central AR Transit (SCAT)
- Crowley Ridge Development Center dba Northeast AR Transit (NEAT)
- Eureka Spring Transit (EST)
- Mid-Delta Community Development (Mid-Delta Transit)
- North AR Transit (NATS)
- Ozark Regional Transit (ORT)
- Southeast AR Transportation (SEAT)
- Western Transit System (WTS)
- Greyhound Bus Lines (Greyhound)
- Jefferson Lines

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Luciana	Nears	Transportation Program	luciana.nears@dot.gov	(817) 978-

Specialist.	0569
Rebekah Longeway PT Specialist	rebekah.longeway@ardot.gov 501-569-4928

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,029,971
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$124,300
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,154,271

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2023-007-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$875,475.00	\$0.00	\$875,475.00	0
AR-2023-007-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$875,475.00	\$0.00	\$875,475.00	0
AR-2023-007-02-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$154,496.00	\$0.00	\$154,496.00	0
AR-2023-007-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$154,496.00	\$0.00	\$154,496.00	0
AR-2023-007-03-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$124,300.00	\$0.00	\$124,300.00	0
AR-2023-007-03-00	43.50.01 TRAINING	\$124,300.00	\$0.00	\$124,300.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2023-007-01-00	1561-2023-5-P1	3/7/2023	7/3/2023	6/30/2024

Project Description

ARDOT is applying for FFY 2021 Section 5311 ARP (\$875,475) Preventive Maintenance Federal funding in this project.

Project Benefits

These funds will assist Subrecipients in maintaining vehicles so they may continue to provide individuals with basic mobility needs which may include access to health care, shopping, employment, and education. This award will help to maintain and improve the use of public transportation.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
050000	Arkansas

Congressional District Information

State	District	Representative
Arkansas	1	Eric A "Rick" Crawford
Arkansas	2	J French Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

Program Plan Information

STIP/TIP

Date: 8/17/2021

Description: 2021 - 2024 STIP page 54.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$875,475
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$875,475

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2023-007-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$875,475.00	\$0.00	\$875,475.00	0
AR-2023-007-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$875,475.00	\$0.00	\$875,475.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Preventive Maintenance funds will be used by the nine (9) Subrecipients for vehicle maintenance.

This may include: rebuilding and overhauling repairable components, performing major repairable units on revenue vehicles (e.g. engines, transmissions, air conditioners, etc.).

Due to residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula (ARPA)	5311-10	20509	\$875,475
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$875,475

Milestone Name	Est. Completion Date	Description
Start Date	7/3/2023	Estimated date agencies will start utilizing Preventive Maintenance funds.
End Date	6/30/2024	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$875,475.00	\$875,475.00

Project Title: Intercity Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2023-007-02-00	1561-2023-5-P2	3/9/2023	1/3/2023	12/31/2027

Project Description

ARDOT is applying for FFY 2021 Section 5311 (\$154,496) ARP Federal funding in this project. This funding supports the operation of SCAT, Greyhound and Jefferson Lines transit systems.

Project Benefits

These funds will help maintain and improve the use and support of intercity bus transportation.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
050000	Arkansas

Congressional District Information

State	District	Representative
Arkansas	1	Eric A "Rick" Crawford
Arkansas	2	J French Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

Program Plan Information

STIP/TIP

Date: 8/17/2021

Description: These projects are listed in the FY 2021-2024 Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA on 08/17/2021. This project is referenced in the STIP on page 54, and the referenced STIP is attached here and in the Application Documents.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$154,496
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$154,496

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2023-007-02-00	634-00 (634-A3) INTERCITY BUS TRANSPORTATION	\$154,496.00	\$0.00	\$154,496.00	0
AR-2023-007-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$154,496.00	\$0.00	\$154,496.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

Extended Budget Description

These funds support the operation of SCAT, Greyhound and Jefferson Lines intercity transit systems. This allows them to preserve and continue existing routes, promote services, and assist with operating expenses such as: fuel, oil, driver salaries and fringe benefits, and licenses, etc.

Due to residual effects from COVID-19, delays with manufacture supplies and production, and receipt of goods, a long lead is requested for the milestones.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$154,496
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$154,496

Milestone Name	Est. Completion Date	Description
Start Date	1/3/2023	Estimated date intercity agencies may start utilizing the Intercity Operating ARP funds.
End Date	12/31/2027	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$154,496.00	\$154,496.00

Project Title: Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AR-2023-007-03-00	1561-2023-5-P3	3/9/2023	1/3/2023	12/31/2027

Project Description

ARDOT is applying for FFY 2021 Section 5311 (\$124,300) ARP Funds in this project. Funds are being requested for training to the Arkansas public transit system.

Project Benefits

These funds will be used for training of the transit agencies so they may better serve the individuals that are transported.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
050000	Arkansas

Congressional District Information

State	District	Representative
Arkansas	1	Eric A "Rick" Crawford
Arkansas	2	J French Hill
Arkansas	3	Steve Womack
Arkansas	4	Bruce Westerman

Program Plan Information

STIP/TIP

Date: 8/17/2021

Description: These projects are listed in the FY 2021-2024 Statewide Transportation Improvement Program (STIP), approved by FTA/FHWA on 08/17/2021. This project is referenced in the STIP on page 54, and the referenced STIP is attached here and in the Application Documents.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$124,300
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$124,300

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AR-2023-007-03-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$124,300.00	\$0.00	\$124,300.00	0
AR-2023-007-03-00	43.50.01 TRAINING	\$124,300.00	\$0.00	\$124,300.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Arkansas Transit Association (ATA) provides training to the Arkansas' public transit system.

Due to residual effects from COVID-19, delays with manufacture supplies and productions, and receipt of goods, a long lead is requested for the milestones.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$124,300
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$124,300

Milestone Name	Est. Completion Date	Description
Start Date	1/3/2023	Estimated date ATA may start utilizing RTAP funds
End Date	12/31/2027	Estimated date all funds should be expended, and the final invoice should be reimbursed to ARDOT by an ECHO drawdown.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$124,300.00	\$124,300.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Luciana Nears

Comment Type	DOL Review for Information
Date	5/25/2023
Comment	<p>DOL Section 5333(b) Certification Per FTA program requirements, this grant application is being sent to DOL for information purposes only (For Section 5311)</p> <p>Grantee contact:: Rebekah Longeway Public Transportation Program Manager Arkansas Department of Transportation Transportation Planning & Policy Division Public Transportation Programs</p> <p>Rebekah.Longeway@ardot.gov 501.569.4928 Work</p>

Application Review Comments

Comment By Ronisha Hodge

Comment Type	Pre-Award Manager Returns Application
Date	5/24/2023
Comment	